

# Chiral Symmetry and $U(1)_A$ Symmetry in Finite Temperature QCD with Domain-Wall Fermion

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# Outline

- Introduction
- Observables for probing the restoration of  $SU(2)_L \times SU(2)_R$  and  $U(1)_A$
- Preliminary Results
- Concluding Remarks

# Introduction

In QCD, the classical action of  $N_f$  massless quarks has the symmetry

$$SU(N_f)_L \times SU(N_f)_R \times U(1)_V \times U(1)_A$$

In the quantum theory, at zero temperature, the chiral symmetry  $SU(N_f)_L \times SU(N_f)_R$  is broken spontaneously to  $SU(N_f)_V$  by the vacuum of QCD, and the  $U(1)_A$  is broken by the axial anomaly.

It is expected that at high temperature, both chiral symmetry and  $U(1)_A$  are restored. The question is, at what temperature  $T_c$  the chiral symmetry is restored, and whether  $U(1)_A$  is also restored at  $T_1 \approx T_c$

Lattice QCD with exact chiral symmetry is in a good position to answer these questions. HotQCD (DWF with not so small residual mass)  
JLQCD (overlap fermion with fixed topology)

# Domain-Wall Fermion

$$A_{\text{dwf}} = \sum_{s,s'=1}^{N_s} \sum_{x,x'} \bar{\psi}_{x,s} \left[ (I + \rho_s D_w)_{x,x'} \delta_{s,s'} - (I - \sigma_s D_w)_{x,x'} (P_- \delta_{s',s+1} + P_+ \delta_{s',s-1}) \right] \psi_{x',s'}$$

$$\equiv \bar{\Psi} D_{\text{dwf}} \Psi$$

$$\rho_s = c\omega_s + d$$

$$\sigma_s = c\omega_s - d$$

$c, d$  (constants)

$$D_w = \sum_{\mu=1}^4 \gamma_{\mu} t_{\mu} + W - m_0, \quad m_0 \in (0, 2)$$

$$t_{\mu}(x, x') = \frac{1}{2} \left[ U_{\mu}(x) \delta_{x', x+\mu} - U_{\mu}^{\dagger}(x') \delta_{x', x-\mu} \right]$$

$$W(x, x') = \sum_{\mu=1}^4 \frac{1}{2} \left[ 2\delta_{x,x'} - U_{\mu}(x) \delta_{x', x+\mu} - U_{\mu}^{\dagger}(x') \delta_{x', x-\mu} \right]$$

with boundary conditions

$$P_+ \psi(x, 0) = -r m_q P_+ \psi(x, N_s), \quad m_q: \text{bare mass}, \quad r = 1 / [2m_0(1 - dm_0)]$$

$$P_- \psi(x, N_s + 1) = -r m_q P_- \psi(x, 1), \quad P_{\pm} = \frac{1}{2} (1 \pm \gamma_5)$$

# Domain-Wall Fermion (cont.)

The action for Pauli-Villars fields is

$$A_{PV} = \sum_{s,s'=1}^{N_s} \sum_{x,x'} \bar{\phi}_{x,s} \left[ (I + \rho_s D_w)_{x,x'} \delta_{s,s'} - (I - \sigma_s D_w)_{x,x'} (P_- \delta_{s',s+1} + P_+ \delta_{s',s-1}) \right] \phi_{x',s'}$$

with boundary conditions:

$$P_+ \phi(x, 0) = -P_+ \phi(x, N_s),$$

$$P_- \phi(x, N_s + 1) = -P_- \phi(x, 1)$$

$$\int [d\bar{\psi}] [d\psi] [d\bar{\phi}] [d\phi] \exp(-A_{\text{dwf}} - A_{\text{PV}}) = \det D(m_q)$$

The effective 4D Dirac operator

$$D(m_q) = m_q + \left( m_0(1 - dm_0) - \frac{m_q}{2} \right) \left[ 1 + \gamma_5 S(H) \right], \quad H = \frac{cH_w}{1 + d\gamma_5 H_w}$$

$$\lim_{N_s \rightarrow \infty} S(H) = \frac{H}{\sqrt{H^2}}$$

# Domain-Wall Fermion (cont.)

- Conventional DWF with Shamir kernel

$$\left[ c = d = 1/2, \omega_s = 1 \Rightarrow \rho_s = c\omega_s + d = 1, \sigma_s = c\omega_s - d = 0 \right]$$

$$D(m_q) = m_q + \left( \frac{m_0}{2}(2 - m_0) - \frac{m_q}{2} \right) \left[ 1 + \gamma_5 S_{\text{polar}}(H) \right], \quad H = \frac{H_w}{2 + \gamma_5 H_w}$$

$$S_{\text{polar}}(H) = \frac{1 - T^{N_s}}{1 + T^{N_s}}, \quad T = \frac{1 - H}{1 + H}$$

$$\begin{aligned} b_l &= \sec^2 \left[ \frac{\pi}{N_s} \left( l - \frac{1}{2} \right) \right] \\ d_l &= \tan^2 \left[ \frac{\pi}{N_s} \left( l - \frac{1}{2} \right) \right] \end{aligned} = \begin{cases} H \left( \frac{2}{N_s} \sum_{l=1}^n \frac{b_l}{H^2 + d_l} \right), & N_s = 2n \\ H \left( \frac{1}{N_s} + \frac{2}{N_s} \sum_{l=1}^n \frac{b_l}{H^2 + d_l} \right), & N_s = 2n + 1 \end{cases}$$

↑  
polar approximation of  $\frac{1}{\sqrt{H^2}}$

# Domain-Wall Fermion (cont.)

- Optimal DWF [ TWC, Phys. Rev. Lett. 90 (2003) 071601]

$$\omega_s = \frac{1}{\lambda_{\min}} \sqrt{1 - \kappa'^2 \operatorname{sn}^2(v_s; \kappa')}, \quad s = 1, \dots, N_s$$

where  $\operatorname{sn}(v_s; \kappa')$  is the Jacobian elliptic function with argument  $v_s$

and modulus  $\kappa' = \sqrt{1 - \lambda_{\min}^2 / \lambda_{\max}^2}$ , and  $\lambda_{\min}^2$  and  $\lambda_{\max}^2$  are

lower and upper “bounds” of the eigenvalues of  $H^2$

Then the effective 4D Dirac operator becomes

$$D(m_q) = m_q + \left( m_0(1 - dm_0) - \frac{m_q}{2} \right) \left[ 1 + \gamma_5 S_{\text{opt}}(H) \right], \quad H = \frac{cH_w}{1 + d\gamma_5 H_w}$$

## Domain-Wall Fermion (cont.)

$$S_{opt}(H) = \frac{1 - \prod_{s=1}^{N_s} T_s}{1 + \prod_{s=1}^{N_s} T_s}, \quad T_s = \frac{1 - \omega_s H}{1 + \omega_s H}$$
$$= \begin{cases} HR_Z^{(n-1,n)}(H^2), & N_s = 2n \\ HR_Z^{(n,n)}(H^2), & N_s = 2n + 1 \end{cases}$$



Zolotarev optimal rational approximation of  $\frac{1}{\sqrt{H^2}}$



# Chiral Sym Breaking due to Finite Ns

[ Y.C. Chen, TWC, Phys. Rev. D 86, 094508 (2012) ]

It can be measured by the residual mass

$$m_{res}(y) = \left\langle \frac{\sum_x \langle J_5(x, n) \bar{q}(y) \gamma_5 q(y) \rangle}{\sum_x \langle \bar{q}(x) \gamma_5 q(x) \bar{q}(y) \gamma_5 q(y) \rangle} \right\rangle_{\{U\}}, \quad n = \frac{N_s}{2}$$

$$= \left\langle \frac{\text{Re tr} \left( D_c + m_q \right)_{y,y}^{-1}}{\text{tr} \left[ \left( D_c^\dagger + m_q \right) \left( D_c + m_q \right) \right]_{y,y}^{-1}} \right\rangle_{\{U\}} - m_q$$

$$J_5(x, n) \equiv \bar{\psi}_{n+1}(x) P_+ \psi_n(x) - \bar{\psi}_n(x) P_- \psi_{n+1}(x)$$

$$\left( D_c + m_q \right)^{-1} \text{ valence quark propagator with } m_q = m_{sea}$$

# Chiral Sym Breaking due to Finite Ns (cont)

[ Y.C. Chen, TWC, Phys. Rev. D 86, 094508 (2012) ]

For lattice QCD with ODWF, it can be shown that

$$M_{\text{res}} \leq \frac{d_Z}{2r} \left[ \frac{2(1+m)}{2 - (3-m)d_Z} \right] \quad m \equiv rm_q$$

For ODWF,  $d_Z \ll 1$  in most cases, and it gives

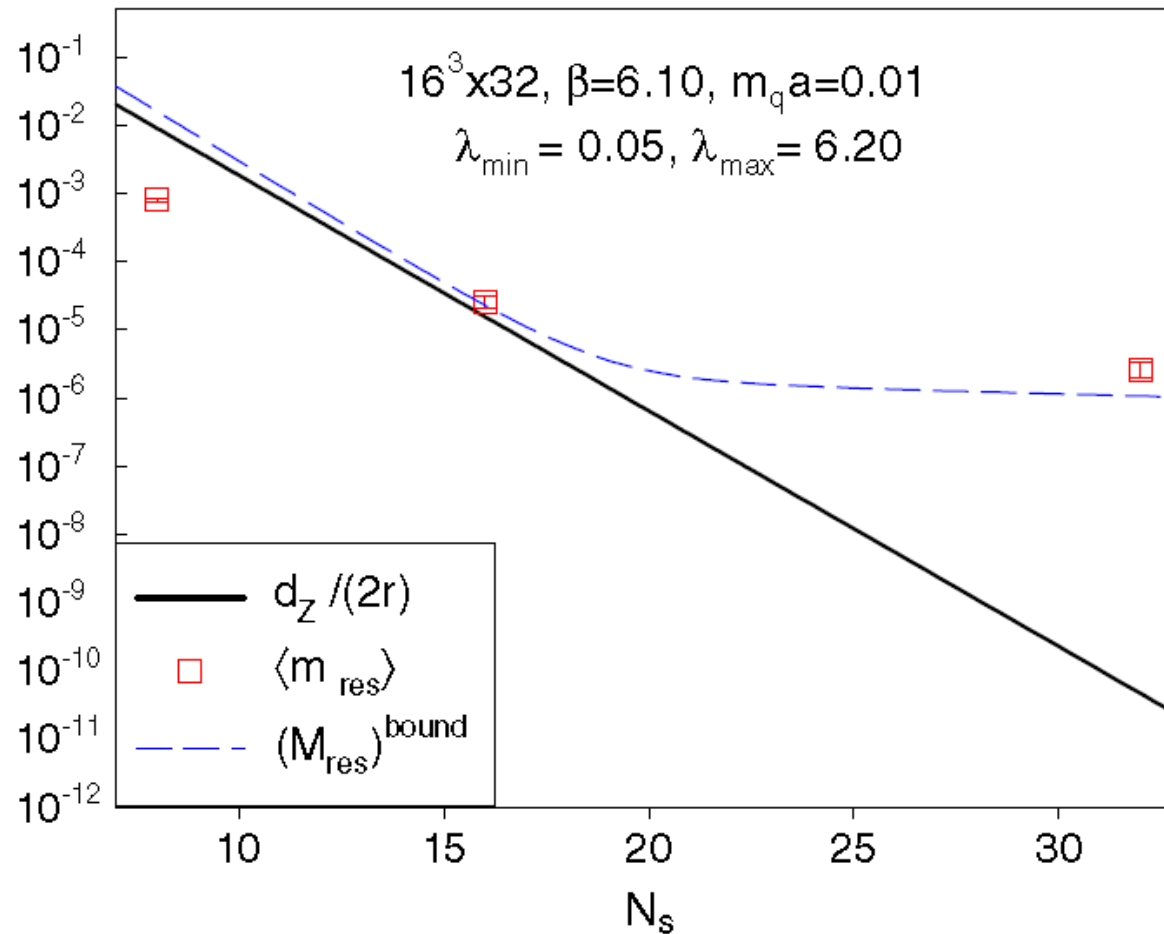
$$M_{\text{res}} \leq \frac{d_Z}{2r} (1 + rm_q) \approx \frac{d_Z}{2r}$$

If there are some eigenvalues of  $H^2$  smaller than  $\lambda_{\text{min}}^2$

$$M_{\text{res}} \leq \left[ \frac{d_Z + (d_a - d_Z)Q_a}{2r} \right] \left[ \frac{2(1+m)}{2 - (3-m)d_a} \right] \\ \equiv F(N_s, m, N_a/N, h_1),$$

# Chiral Sym Breaking due to Finite $N_s$ (cont)

[ Y.C. Chen, TWC, Phys. Rev. D 86, 094508 (2012) ]



# TWQCD simulations of $N_f=2$ QCD

- Chiral symmetry is preserved to a good precision with the optimal DWF [ TWC, Phys. Rev. Lett., 2003 ].
- Topological sectors are sampled ergodically.
- Multiple-time scale integration and mass preconditioning.
- Even-Odd Preconditioning for the 4D Wilson-Dirac Matrix.
- Omelyan Integrator for the Molecular Dynamics.
- Conjugate gradient with mixed precision.
- Use a GPU cluster of 320 GPUs, with sustained 100 Tflops

## Gauge Ensembles of 2-flavor QCD with ODWF

- Lattice size:  $16^3 \times 6 \times 16$
- Quark action: Optimal Domain-Wall Fermion (ODWF)
- Gluon action: Wilson plaquette ( $\beta = 5.86, 5.87, \dots, 5.95$ )
- For each  $\beta$ , three sea-quark masses,  $m_q a = 0.01, 0.02, 0.03$
- For each  $(\beta, m)$ , after thermalization, 3000-5000 trajectories have been accumulated. Sampling one configuration every 10 trajectories gives 300-500 confs.
- For each conf, **zero modes plus 200+200 conjugate pairs of low-lying eigenmodes** of the overlap operator are projected.

# Statistics of HMC Trajectories for $16^3 \times 6$

Beta	m=0.01	m=0.02	m=0.03
5.86	2050	1240+	1757+
5.87	2509	2675	2693
5.88	5106	2518+	2363+
5.89	4522+	4848	3233
5.90	3235	2677	1835+
5.91	9216	2193	2267
5.92	3229	2124	1873
5.93	12998	1844	2005
5.94	2235	1797	1900
5.95	5648	2428	7252

This covers  $T \sim 140 - 300$  MeV

# Residual masses with ODWF at $N_s=16$

beta	m=0.01	m=0.02	m=0.03
5.86			
5.87			
5.88	0.00059(8)	0.00047(8)	0.00077(5)
5.89	0.00050(7)	0.00035(4)	0.00042(5)
5.90	0.00018(2)	0.00016(4)	0.00022(4)
5.91	0.00020(2)	0.00025(3)	0.00019(3)
5.92	0.00019(4)	0.00018(3)	0.00014(3)
5.93	0.00019(3)	0.00011(2)	0.00011(2)
5.94	0.00013(2)	0.00011(3)	0.00008(1)
5.95	0.00006(2)	0.00006(4)	0.00006(5)

# Observables for probing the restoration of $SU(2)_L \times SU(2)_R$ and $U(1)_A$

- Chiral susceptibilities ( $\chi_\pi$ ,  $\chi_\delta$ ,  $\chi_\eta$ ,  $\chi_\sigma$ )
- Eigenvalue density of the overlap operator

$$\chi_\pi - \chi_\delta = \int_0^\infty d\lambda \frac{4m_q^2 \rho(\lambda)}{(m_q^2 + \lambda^2)^2}$$



# Chiral Susceptibilities

## Scalar mesons

$$\delta = \bar{u}d, \bar{d}u, \frac{1}{\sqrt{2}}(\bar{u}u - \bar{d}d), \quad \text{flavor non-singlet}$$

$$\sigma = \frac{1}{\sqrt{2}}(\bar{u}u + \bar{d}d), \quad \text{flavor singlet}$$

$$C_\delta(x) = \langle (\bar{u}d)^\dagger(x) (\bar{u}d)(0) \rangle$$

$$C_\sigma(x) = \langle \sigma^\dagger(x) \sigma(0) \rangle$$

$$\chi_\delta = \sum_x C_\delta(x) = -\frac{1}{L_x^3 L_t} \langle \text{Tr}(D_c + m_q)^{-2} \rangle$$

$$\chi_\sigma = \sum_x C_\sigma(x) = \chi_\delta + \frac{2}{L_x^3 L_t} \left\{ \left\langle \left[ \text{Tr}(D_c + m_q)^{-1} \right]^2 \right\rangle - \left\langle \text{Tr}(D_c + m_q)^{-1} \right\rangle^2 \right\}$$

# Chiral Susceptibilities (cont)

## Pseudoscalar mesons

$$\pi = \bar{u}\gamma_5 d, \bar{d}\gamma_5 u, \frac{1}{\sqrt{2}}(\bar{u}\gamma_5 u - \bar{d}\gamma_5 d), \quad \text{flavor non-singlet}$$

$$\eta = \frac{1}{\sqrt{2}}(\bar{u}\gamma_5 u + \bar{d}\gamma_5 d), \quad \text{flavor singlet}$$

$$C_\pi(x) = \langle (\bar{u}\gamma_5 d)^\dagger(x) (\bar{u}\gamma_5 d)(0) \rangle$$

$$C_\eta(x) = \langle \eta^\dagger(x) \eta(0) \rangle$$

$$\chi_\pi = \sum_x C_\pi(x) = \frac{1}{L_x^3 L_t} \langle \text{Tr}[\gamma_5(D_c + m_q)]^{-2} \rangle$$

$$\chi_\eta = \sum_x C_\eta(x) = \chi_\pi - \frac{2}{L_x^3 L_t} \left\{ \left\langle \left[ \text{Tr} \gamma_5 (D_c + m_q)^{-1} \right]^2 \right\rangle - \left\langle \text{Tr} \gamma_5 (D_c + m_q)^{-1} \right\rangle^2 \right\}$$

# Chiral Susceptibilities (cont)

$$C_\delta(x) = \langle (\bar{u}d)^\dagger(x) (\bar{u}d)(0) \rangle$$

$$C_\pi(x) = \langle (\bar{u}\gamma_5 d)^\dagger(x) (\bar{u}\gamma_5 d)(0) \rangle$$

$$C_\sigma(x) = \langle \sigma^\dagger(x) \sigma(0) \rangle$$

$$C_\eta(x) = \langle \eta^\dagger(x) \eta(0) \rangle$$

$$\chi_\delta = \sum_x C_\delta(x)$$

$$\chi_\pi = \sum_x C_\pi(x)$$

$$\chi_\sigma = \sum_x C_\sigma(x)$$

$$\chi_\eta = \sum_x C_\eta(x)$$

$$\left. \begin{array}{l} \chi_\pi = \chi_\sigma \\ \chi_\eta = \chi_\delta \end{array} \right\} \Leftrightarrow \text{restoration of } SU(2)_L \times SU(2)_R$$

$$\left. \begin{array}{l} \chi_\pi = \chi_\delta \\ \chi_\sigma = \chi_\eta \end{array} \right\} \Leftrightarrow \text{restoration of } U(1)_A$$

# Chiral Susceptibilities (cont)

$$\begin{aligned}\chi_\eta &= \chi_\pi - \frac{2}{L_x^3 L_t} \left\{ \left\langle \left[ \text{Tr} \gamma_5 (D_c + m_q)^{-1} \right]^2 \right\rangle - \left\langle \text{Tr} \gamma_5 (D_c + m_q)^{-1} \right\rangle^2 \right\} \\ &= \chi_\pi - \frac{2\chi_t}{m_q^2}, \quad \chi_t = \frac{1}{L_x^3 L_t} \left\{ \left\langle Q_{top}^2 \right\rangle - \left\langle Q_{top} \right\rangle^2 \right\}, \text{ topological susceptibility}\end{aligned}$$

At temperature  $T \geq T_c$

$$\left. \begin{aligned}\chi_\pi &= \chi_\sigma \\ \chi_\eta &= \chi_\delta\end{aligned} \right\} \Leftrightarrow \text{restoration of } SU(2)_L \times SU(2)_R$$

$$\chi_\pi - \chi_\eta = \frac{2\chi_t}{m_q^2} \Leftrightarrow \chi_{disc} \equiv \chi_\sigma - \chi_\delta = \frac{2\chi_t}{m_q^2}$$

# Chiral Susceptibilities (cont)

At temperature  $T \geq T_1$

$$\left. \begin{array}{l} \chi_\pi = \chi_\delta \\ \chi_\sigma = \chi_\eta \end{array} \right\} \Leftrightarrow \text{restoration of } U(1)_A$$

If  $T_1 = T_c$ , then  $\chi_\pi = \chi_\delta = \chi_\sigma = \chi_\eta$  for  $T \geq T_c$ ,  
restoration of  $SU(2)_L \times SU(2)_R \times U(1)_A$

$$\left( \frac{\chi_t}{m_q^2} \right) \xrightarrow{m_q \rightarrow 0} \begin{cases} = 0, & T \geq T_c \\ \propto \frac{1}{m_q}, & T < T_c \end{cases}$$

# Chiral Susceptibilities (cont)

If  $T_1 > T_c$ , there exists a window  $T_c \leq T \leq T_1$

$$\left\{ \begin{array}{l} \chi_\pi = \chi_\sigma \\ \chi_\eta = \chi_\delta \end{array} \right\} \text{ but } \left\{ \begin{array}{l} \chi_\pi \neq \chi_\delta \\ \chi_\sigma \neq \chi_\eta \end{array} \right\}$$

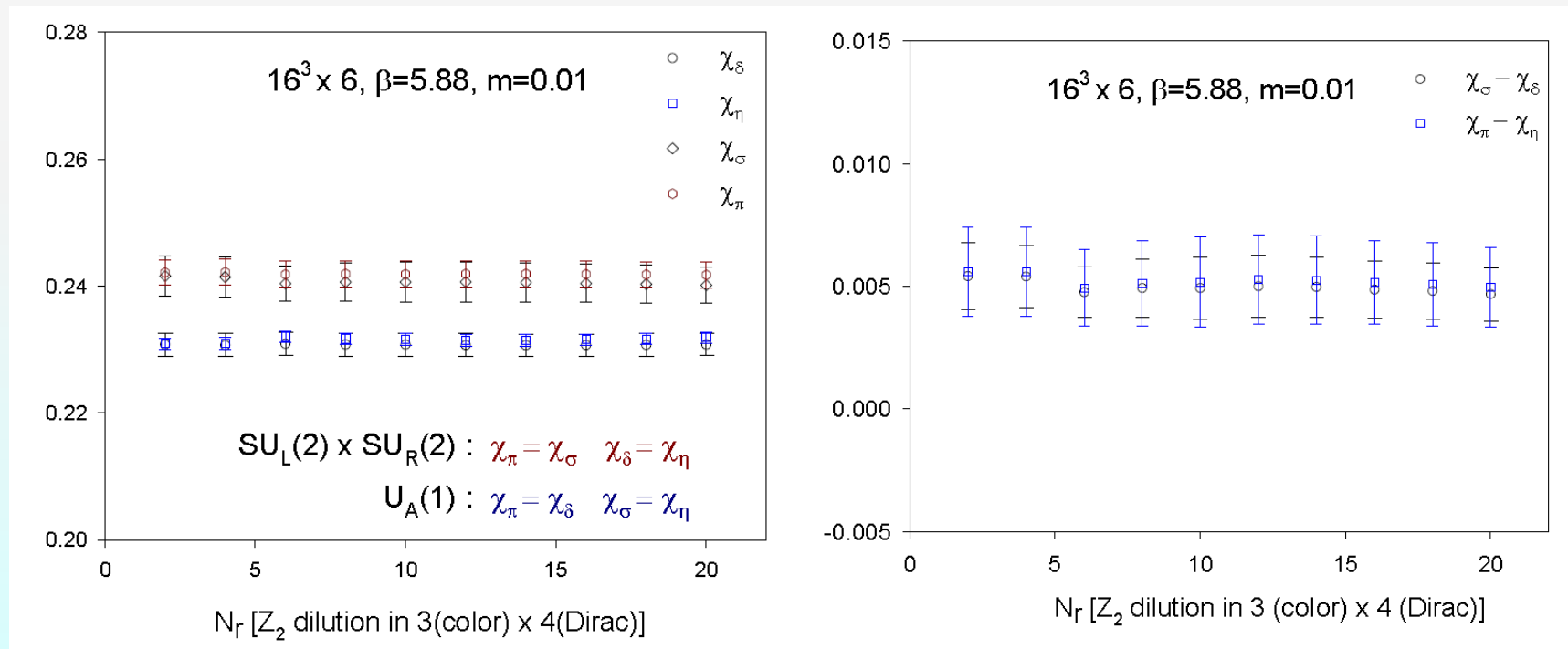
If the chiral symmetry restoration (phase transition) belongs to the  $O(4)$  universality class, then we expect

$$\left( \frac{\chi_t}{m_q^2} \right) \xrightarrow{m_q \rightarrow 0} \sim (T - T_c)^{-\gamma}, \quad T \geq T_c$$

$\gamma = 1.453$

# Preliminary Results of Chiral Susceptibilities

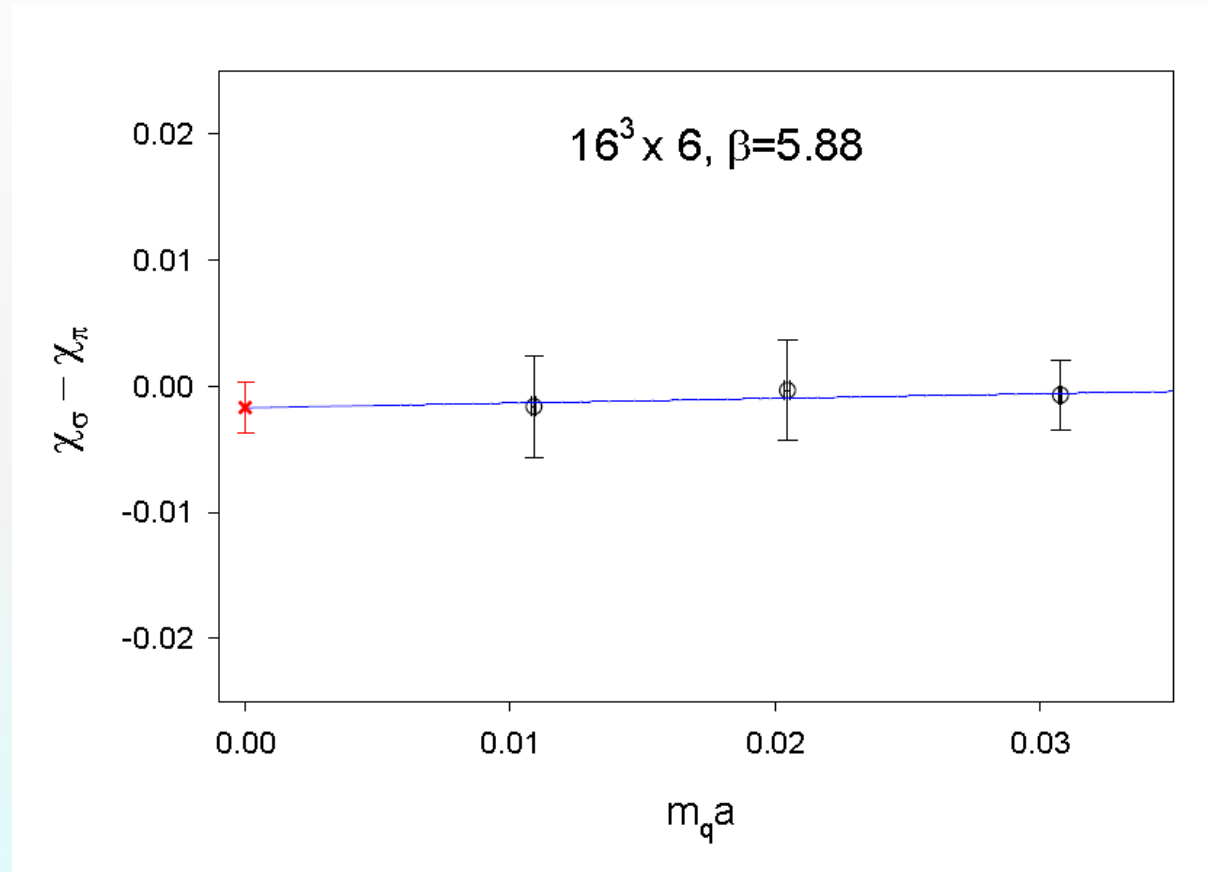
The chiral susceptibilities are evaluated with the all-to-all quark propagators, which are computed using 240  $Z_2$  noises with dilution in the color and the Dirac indices, for each conf. The results are well saturated by the number of noise vectors.



# Chiral Susceptibilities (cont.)

$$\chi_\pi \approx \chi_\sigma \approx 0.24$$

$T \approx 180 \text{ MeV}$



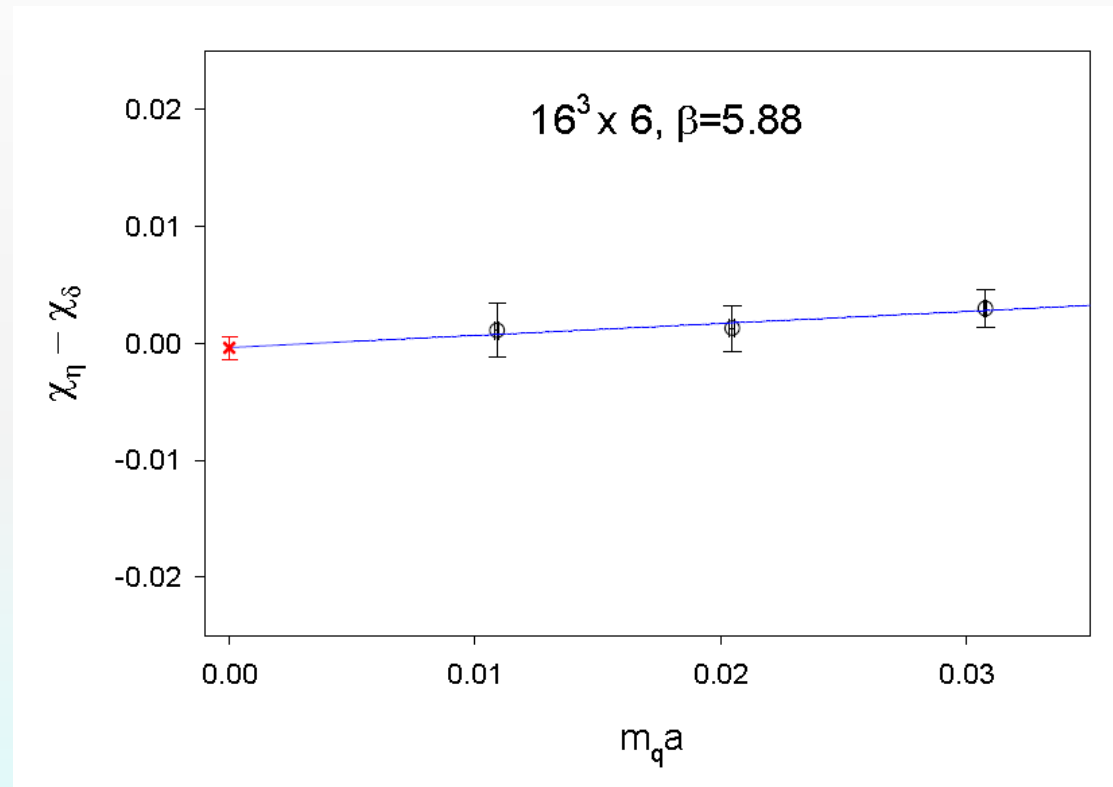
$\chi_\pi = \chi_\sigma \Rightarrow$  restoration of  $SU(2)_L \times SU(2)_R$



# Chiral Susceptibilities (cont.)

$$\chi_\eta \approx \chi_\delta \approx 0.23$$

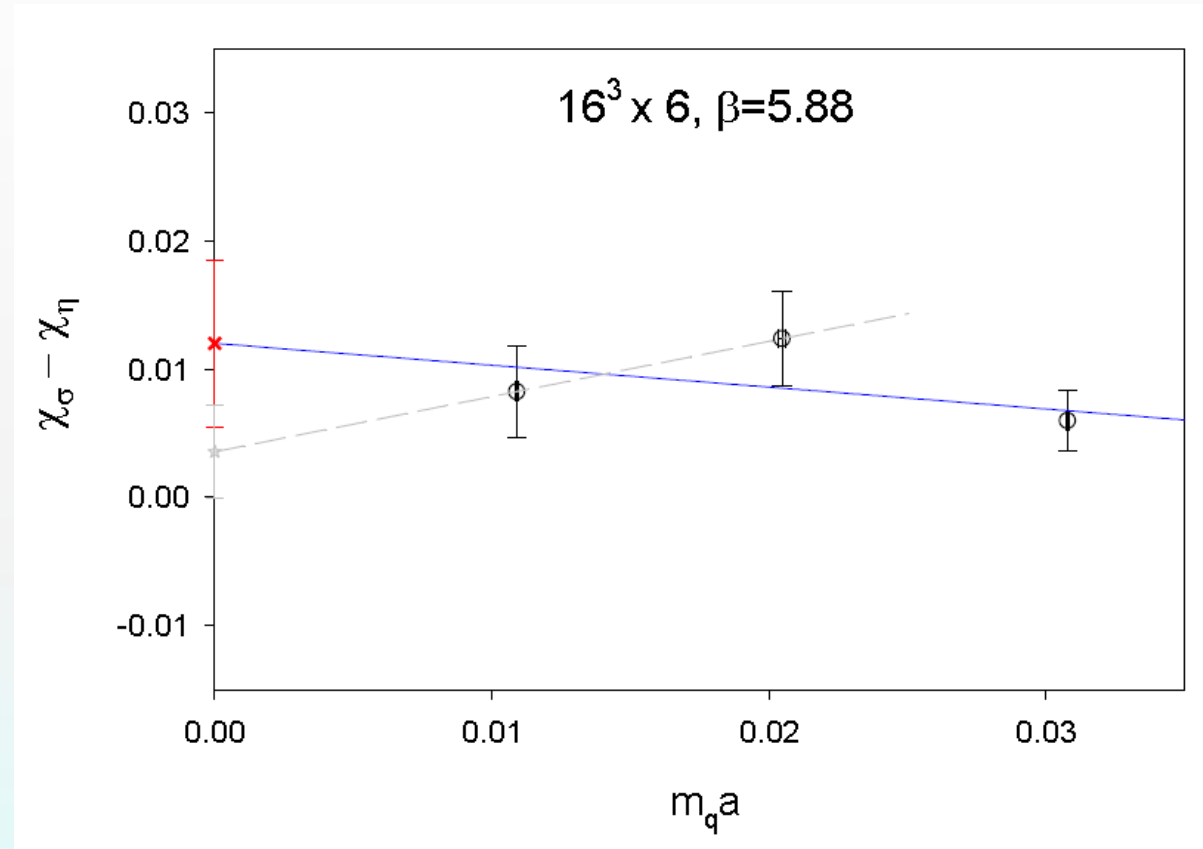
$T \approx 180 \text{ MeV}$



$\chi_\eta = \chi_\delta \Rightarrow$  restoration of  $SU(2)_L \times SU(2)_R$

# Chiral Susceptibilities (cont.)

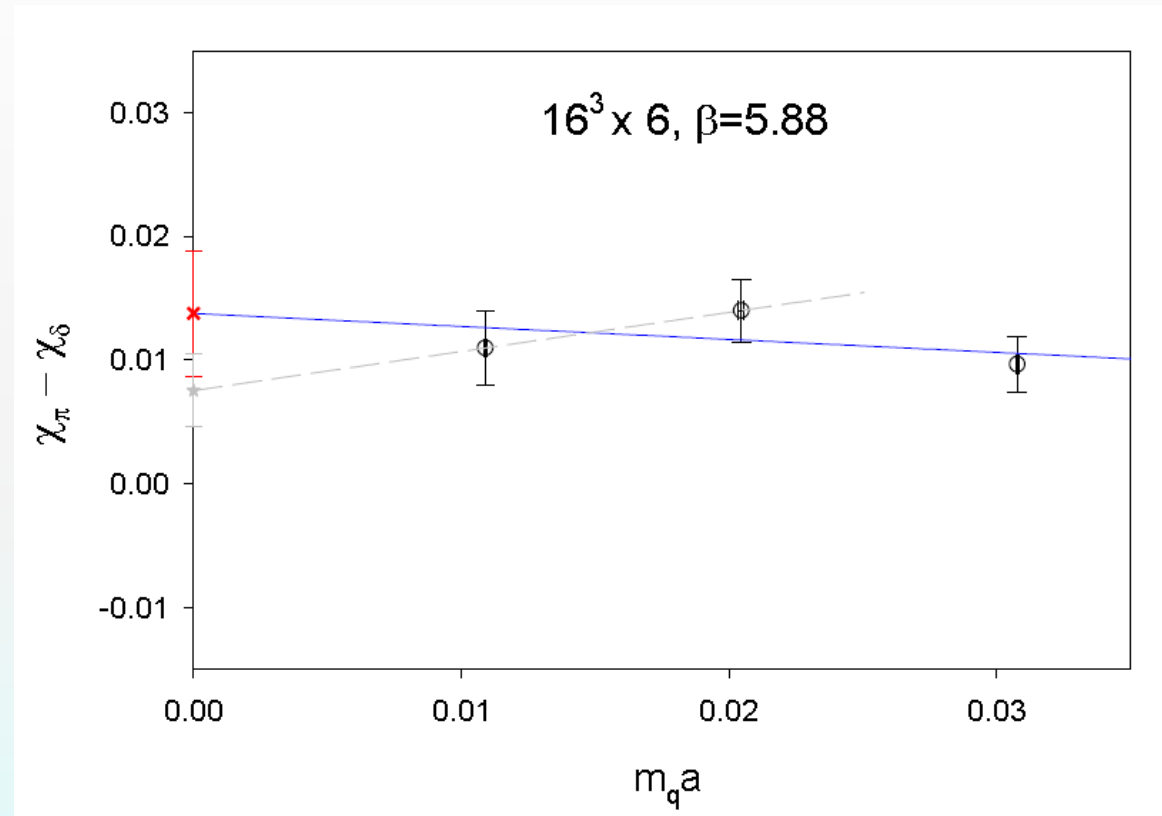
$T \approx 180 \text{ MeV}$



If  $\chi_\sigma = \chi_\eta$  in the chiral limit, then  $U(1)_A$  is restored.

# Chiral Susceptibilities (cont.)

$T \approx 180 \text{ MeV}$

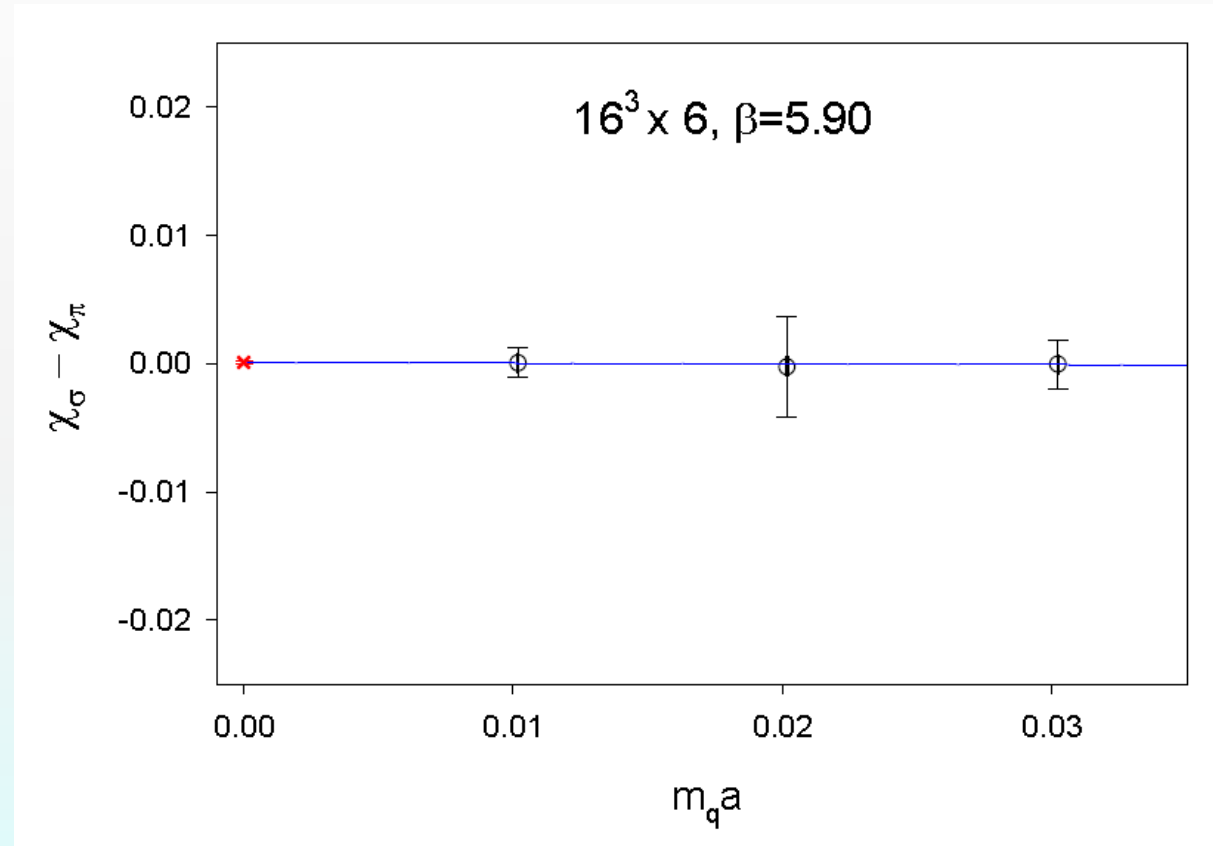


If  $\chi_\pi = \chi_\delta$  in the chiral limit, then  $U(1)_A$  is restored.

# Chiral Susceptibilities (cont.)

$$\chi_\pi \approx \chi_\sigma \approx 0.235$$

$T \approx 210 \text{ MeV}$

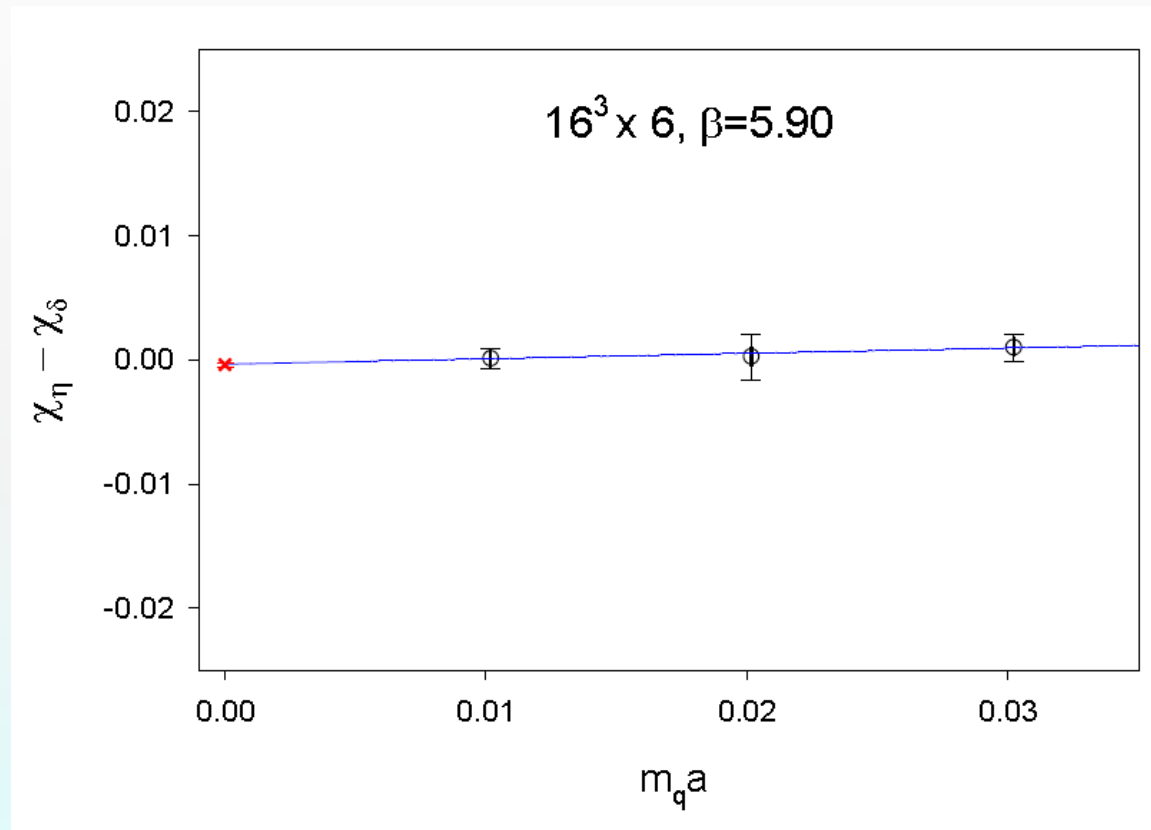


$\chi_\pi = \chi_\sigma \Rightarrow$  restoration of  $SU(2)_L \times SU(2)_R$

# Chiral Susceptibilities (cont.)

$$\chi_\eta \approx \chi_\delta \approx 0.235$$

$T \approx 210 \text{ MeV}$

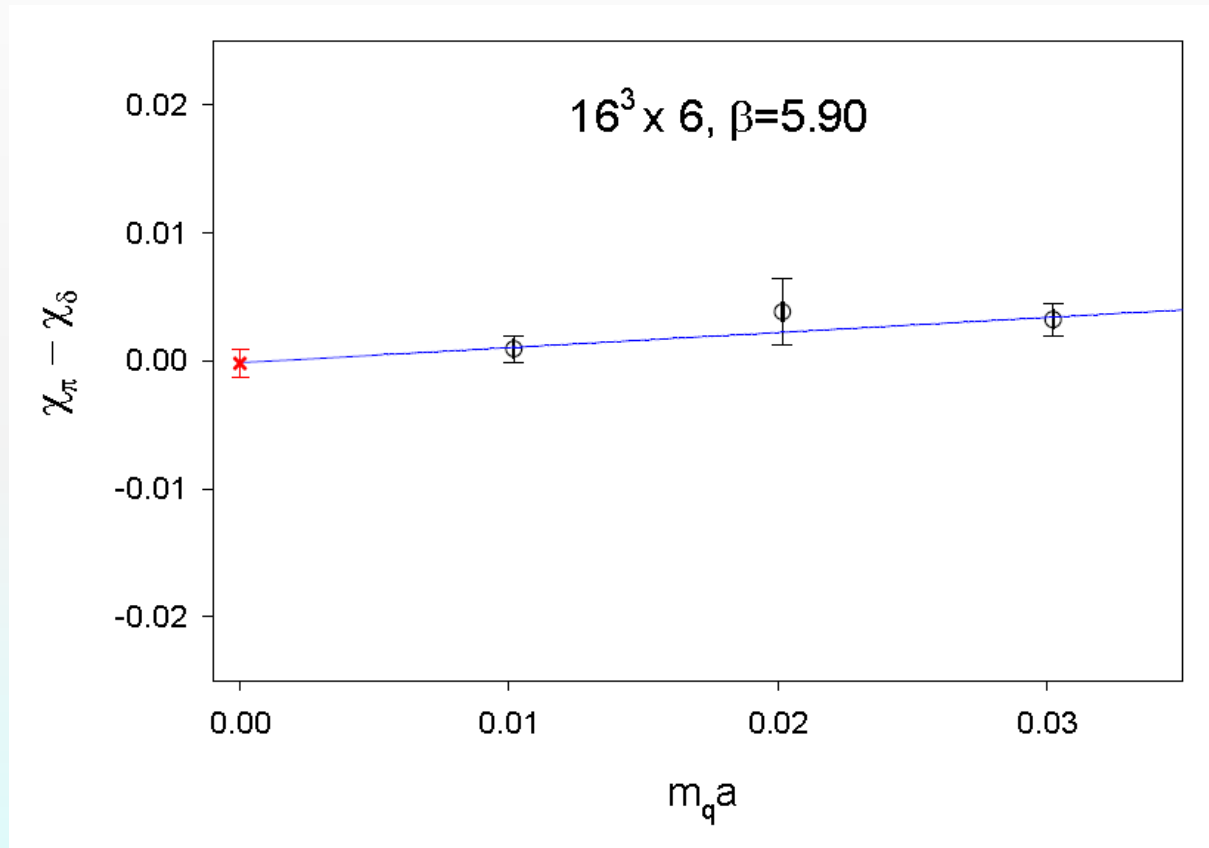


$\chi_\eta = \chi_\delta \Rightarrow$  restoration of  $SU(2)_L \times SU(2)_R$

# Chiral Susceptibilities (cont.)

$$\chi_\pi \approx \chi_\delta \approx 0.235$$

$T \approx 210 \text{ MeV}$

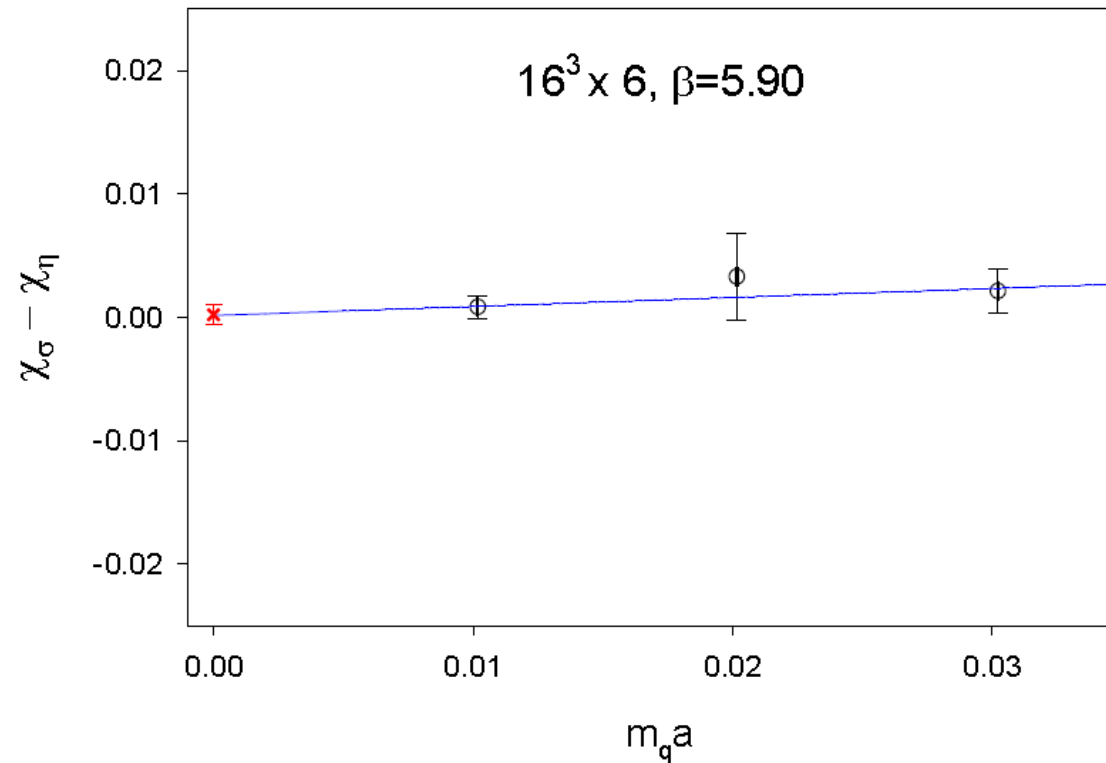


$$\chi_\pi = \chi_\delta \Rightarrow \text{restoration of } U(1)_A$$

# Chiral Susceptibilities (cont.)

$$\chi_\eta \approx \chi_\sigma \approx 0.235$$

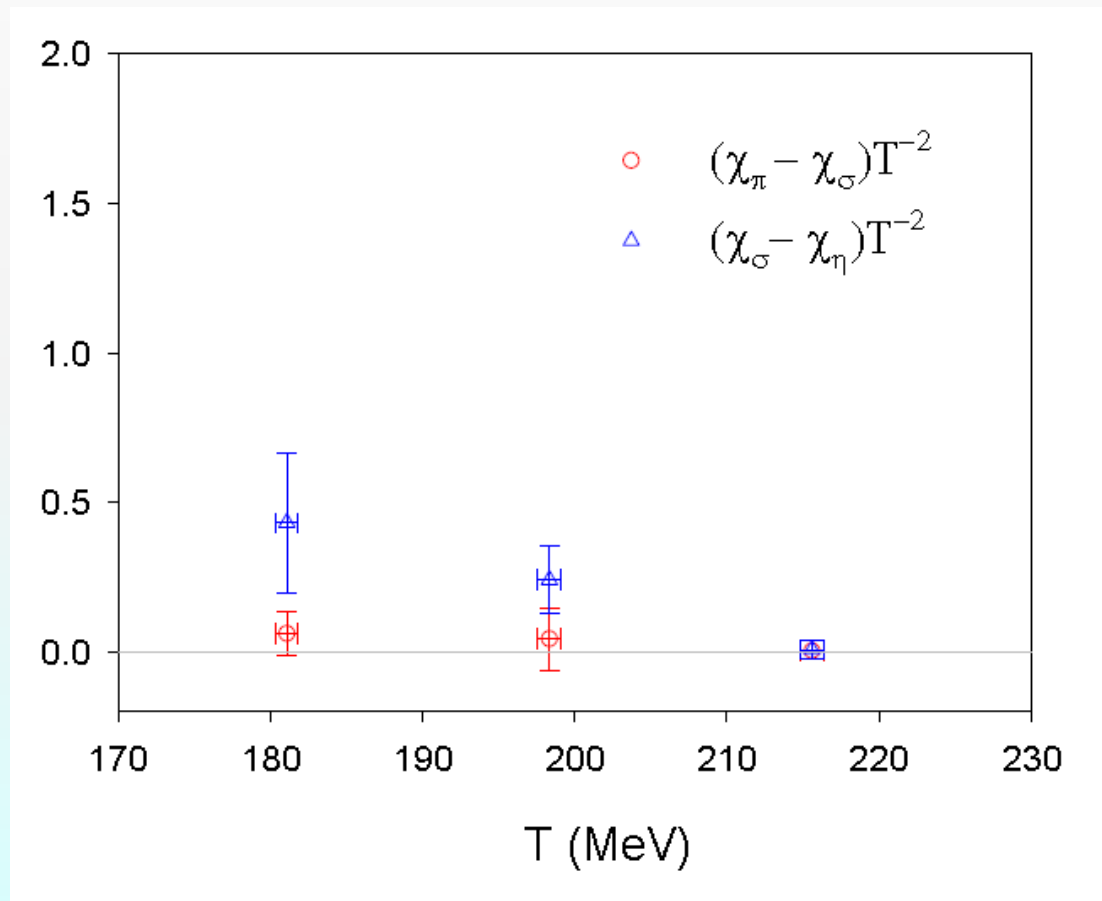
$T \approx 210 \text{ MeV}$



$$\chi_\sigma = \chi_\eta \Rightarrow \text{restoration of } U(1)_A$$

# Chiral Susceptibilities (cont.)

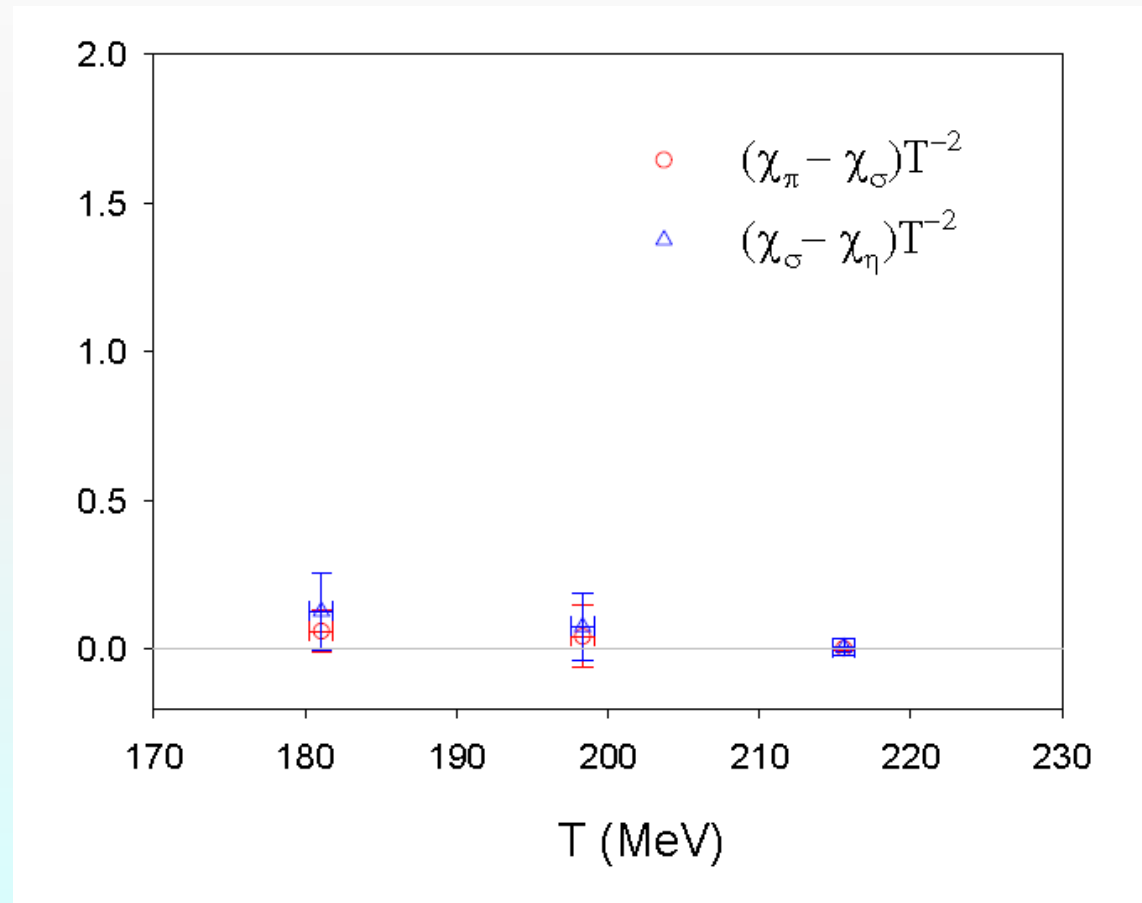
Data points are obtained by chiral extrapolation with 3 quark masses,  $m_q a = 0.01, 0.02, 0.03$





# Chiral Susceptibilities (cont.)

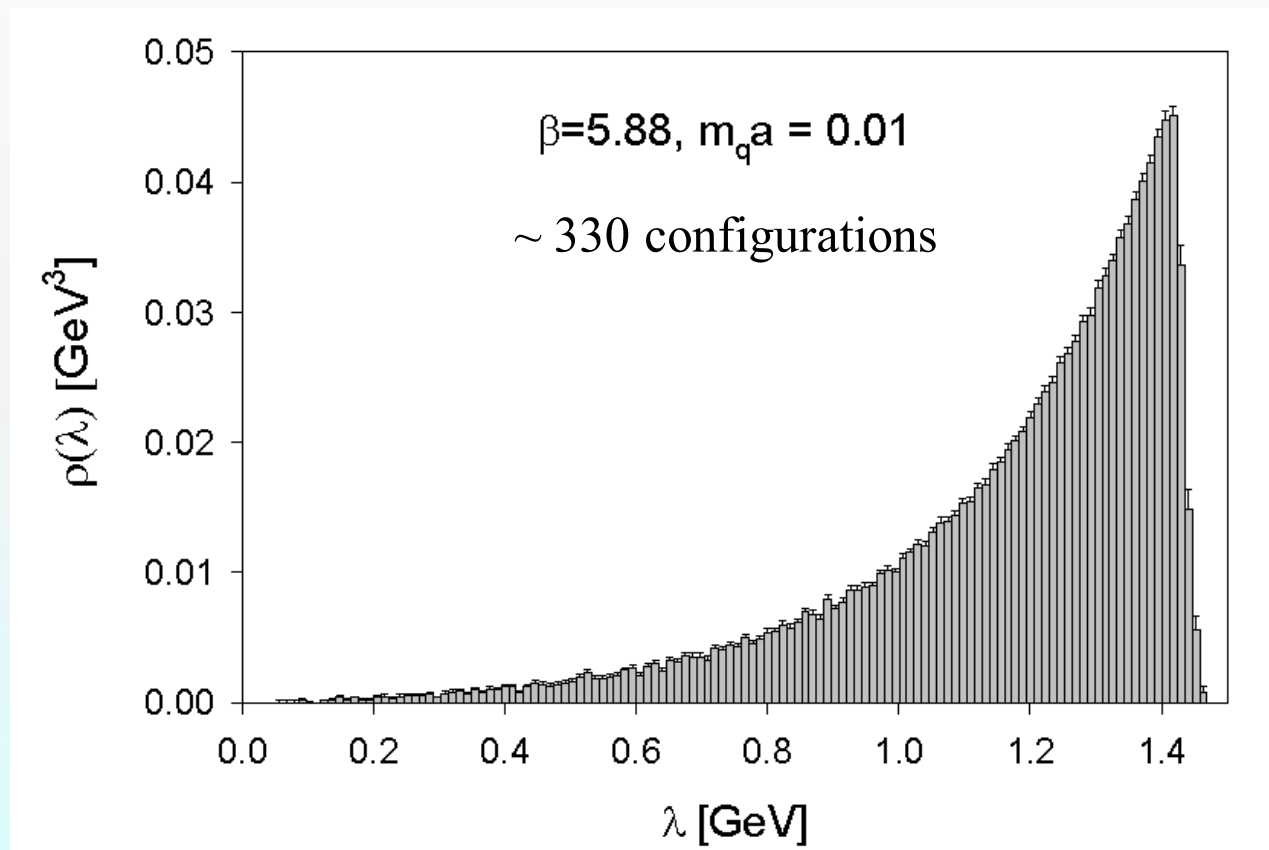
Data points are obtained by chiral extrapolation with 2 quark masses,  $m_q a = 0.01, 0.02$



# Eigenvalue density of the overlap operator

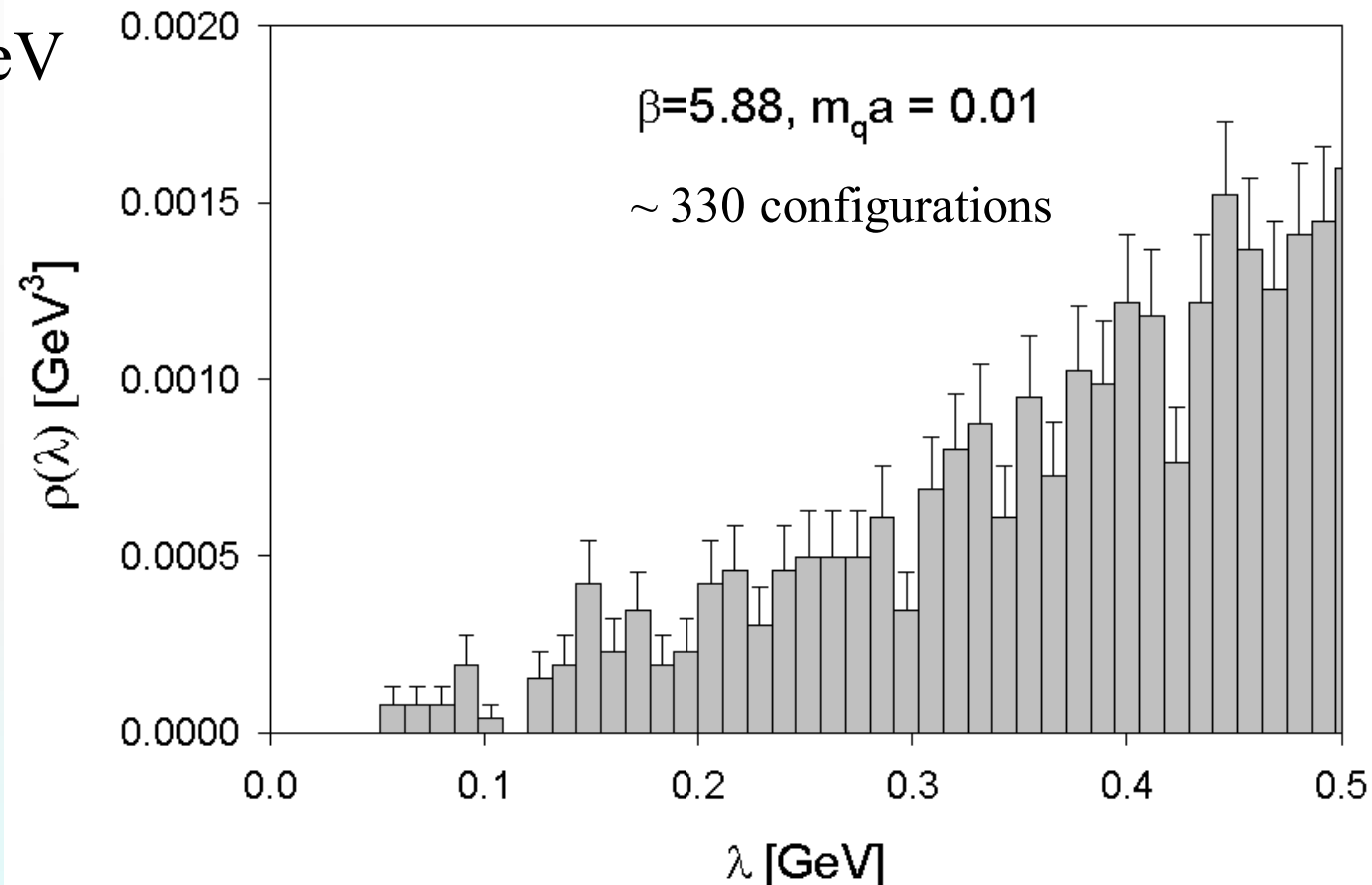
- For each conf, **zero modes** plus **200+200 conjugate pairs of low-lying eigenmodes** of the overlap operator are projected.

$T \approx 180$  MeV



# Eigenvalue density of the overlap op. (cont)

$T \approx 180$  MeV

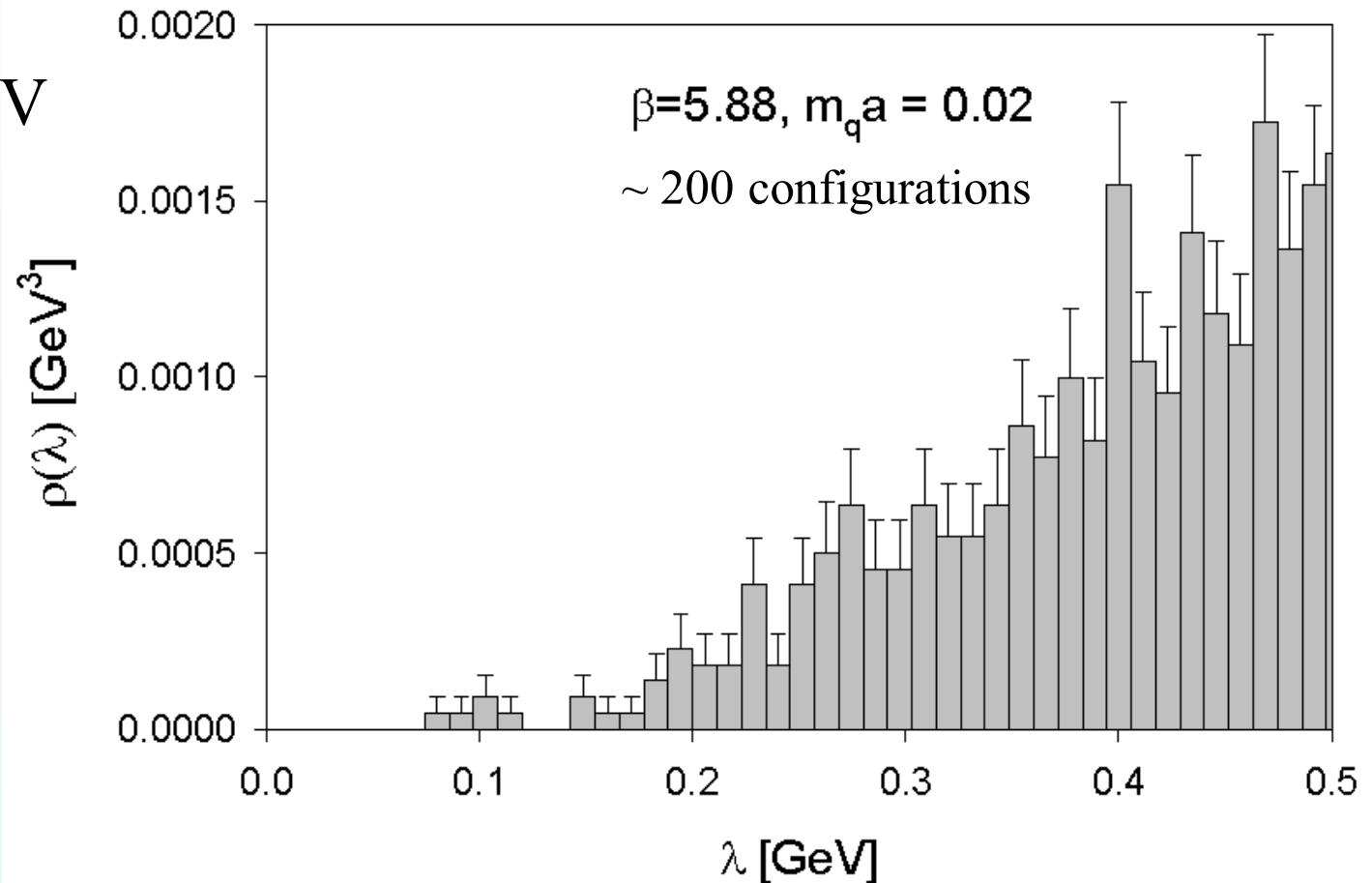


suppression of low-modes stronger than

Aoki-Fukaya-Taniguchi [PRD, 2012],  $\rho(\lambda) \approx \lambda^\alpha$ ,  $\alpha \geq 3$

# Eigenvalue density of the overlap op. (cont)

$T \approx 180$  MeV

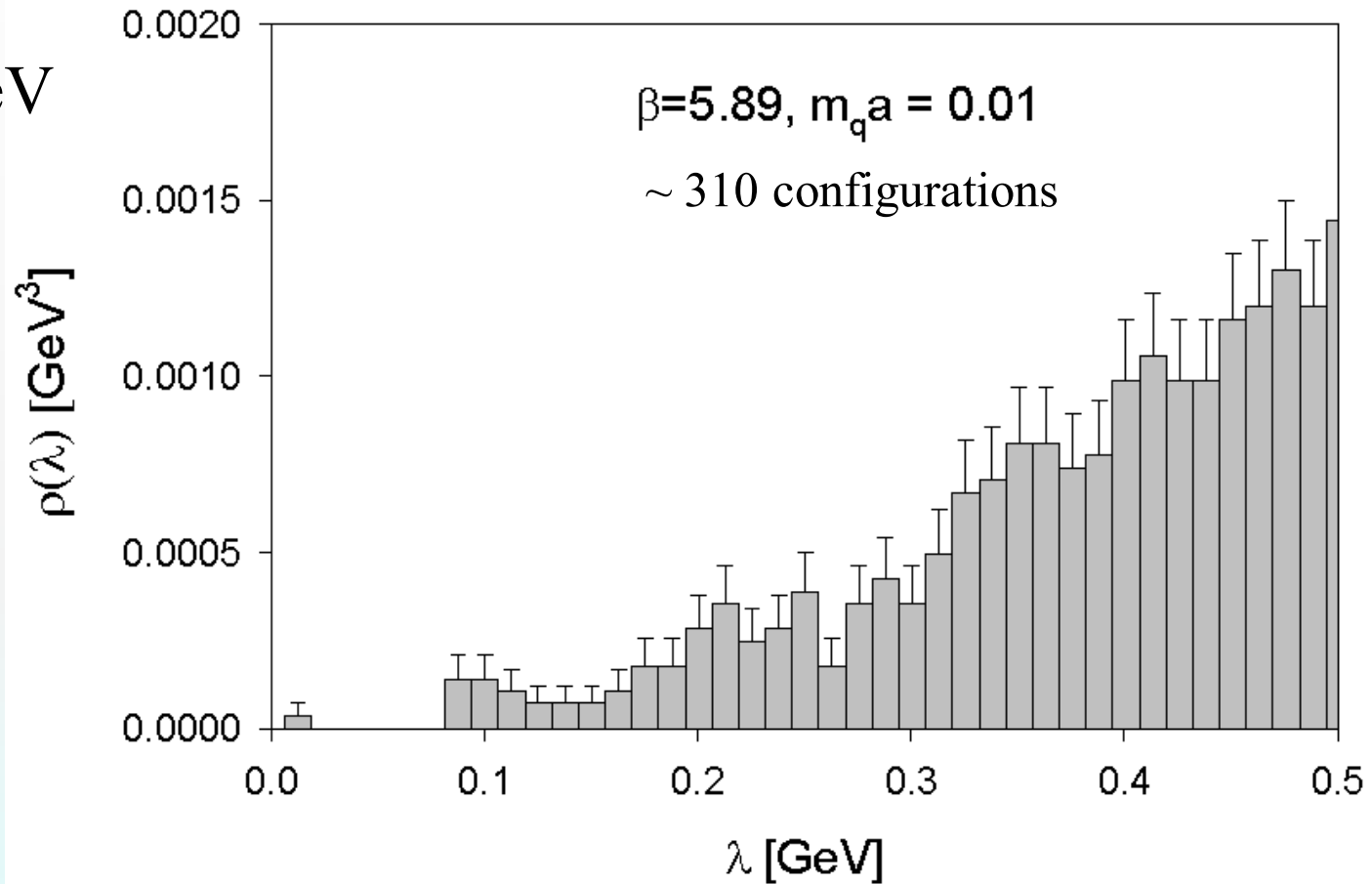


suppression of low-modes stronger than

Aoki-Fukaya-Taniguchi [PRD, 2012],  $\rho(\lambda) \approx \lambda^\alpha$ ,  $\alpha \geq 3$

# Eigenvalue density of the overlap op. (cont)

$T \approx 200$  MeV

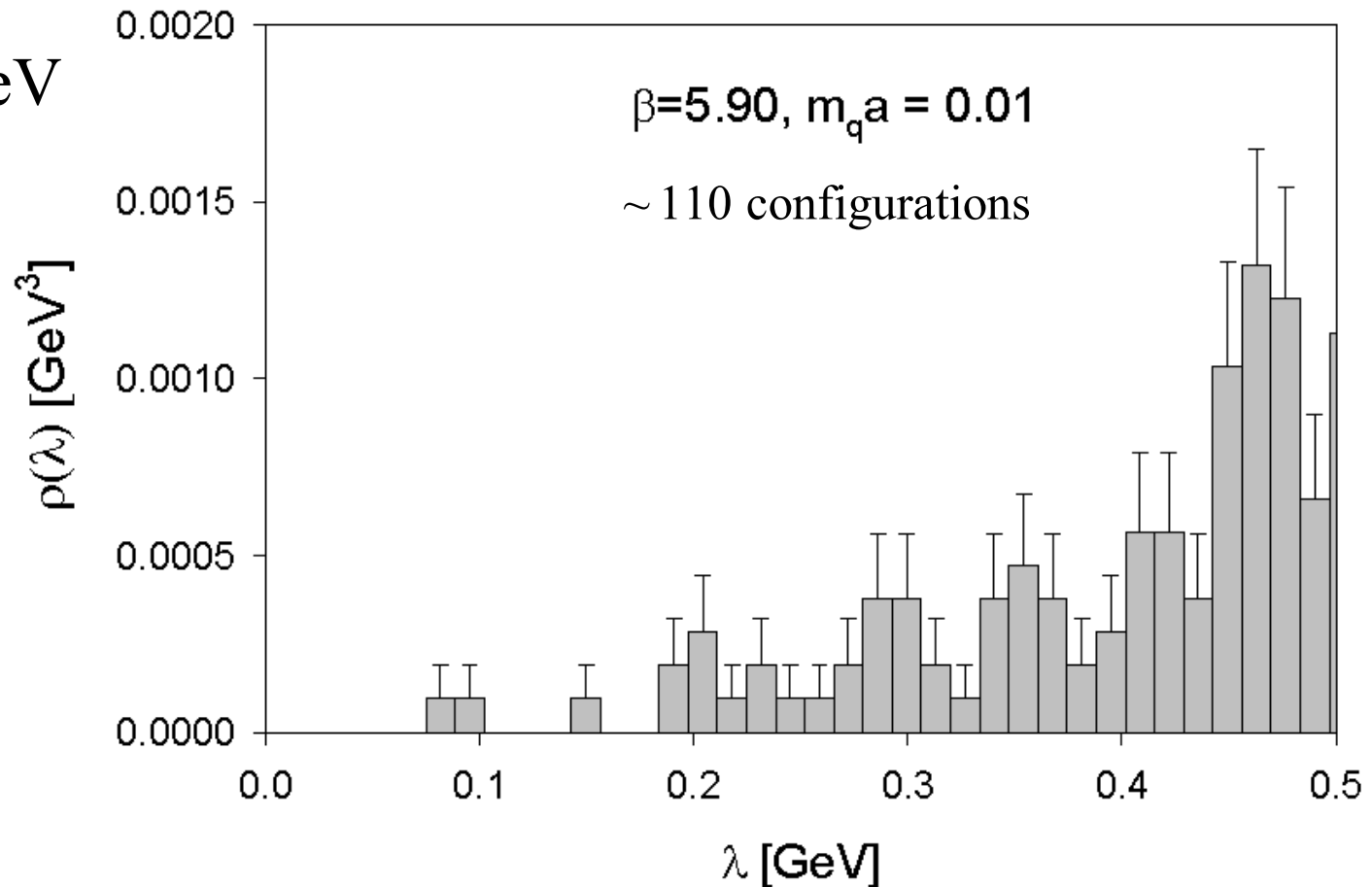


suppression of low-modes stronger than

Aoki-Fukaya-Taniguchi [PRD, 2012],  $\rho(\lambda) \approx \lambda^\alpha$ ,  $\alpha \geq 3$

# Eigenvalue density of the overlap op. (cont)

$T \approx 210$  MeV



suppression of low-modes stronger than

Aoki-Fukaya-Taniguchi [PRD, 2012],  $\rho(\lambda) \approx \lambda^\alpha, \alpha \geq 3$

# Concluding Remarks

- TWQCD preliminary results of chiral susceptibilities and the eigenvalue density of the overlap operator suggest that  $U(1)_A$  symmetry is likely to be restored at  $T_1 \approx T_c$
- This implies that the chiral phase transition of 2-flavors QCD in the chiral limit is likely to be first order [Pisarski-Wilzcek, 1984], or second order in the  $U(2)_L \times U(2)_R / U(1)_V$  universality class.
- A more precise determination of  $T_c$  and  $T_1$ , with a finer scan in  $\beta$ , and also with a larger volume, are necessary to clarify these issues.